١ DT03 Rec'd PCT/PTO 2 0 OCT 2004 Attorney Docket No. TRANSMITTAL LETTER TO THE UNITED STATES 2503-1120 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLN. NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED April 23, 2002 PCT/EP03/03923 **April 15, 2003** TITLE OF INVENTION: HYPERFORIN HALOGENATED DERIVATIVES, THE USE THEREOF AND FORMULATIONS **CONTAINING THEM** APPLICANT(S) FOR DO/EO/US: Ezio BOMBARDELLI, Paolo MORAZZONI, Antonella RIVA and Nicola FUZZATI Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). 3. The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. □ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. See attached PCT/IB/308. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made, however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) or a Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv). under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement (IDS) w/PTO-1449 - Copy of IDS citations. 12. Assignment Papers (cover sheet & document(s)). 13. A preliminary amendment. 14. An Application Data Sheet under 37 C.F.R. 1.76. 15. A substitute specification. 16. A change of power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2

Y & T October 20, 2004

and 37 CFR 1.821 - 1.825.

Preliminary Examination Report

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).

20. Other items or information: Abstract, International Search Report, PCT/IPEA/409 (International

U.S. APPLICATION	VM511894	INTERNATIONAL AP	PLN. NO.		RNEY DOCKET	ΓNO.
		PC1/EP03/03923		2503-		LATIONS
21. The following fees are submitted:					PTO USE ONLY	
BASIC NATIONAL	. FEE (37 CFR 1.492	2 (a) (1)-(5)):				
Neither international preliminary examination fee nor international search fee paid to USPTO and international Search Report not prepared by the EPO or JPO\$1110.00						
International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00						
International preliminary examination fee not paid to USPTO but International search fee paid to USPTO\$790.00						
International preliminary examination fee paid to USPTO but all claims did not satisfy provision of PCT Article 33 (1)-(4)\$750.00						
International preliminary examination fee paid to USPTO and all claims satisfied provision of PCT Article 33 (1)-(4)\$100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT					\$ 950.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20- ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$	
Total Claims	10 - 20 =		× \$18.00		\$	
Independent Claims	2 - 3 =		x \$88.00		\$	
	NDENT CLAIM(S) (if	applicable)	+ \$300.00		\$	
TOTAL OF ABOVE CALCULATION =					\$ 1,080.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					\$	
SUBTOTAL =					\$ 1,080.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$ 1,080.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 1,080.00	
					Amount to be refunded:	\$
					Charged:	\$
☐ The Comn	nissioner is hereby a	30.00 to cover the about thorized to charge incoming & Thompson. A	dicated fees and	credit a	ny overpayment eet is enclosed.	t to Deposit
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The Commoverpayment to De	nissioner is hereby ai posit Account No. 25	uthorized in this, concu -0120 for any additiona	I fee required und	ler 37 C	.F.R. §§ 1.16 or	1.17.
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 rd Street				Benoît Castel SIGNATURE		
Arlington, VA 222					l, Reg. No. 35,0 ISTRATION NU	
Telephone (703) 5			INAIVII	L, I\LG		WIDEIX
Y&T Customer No. 00466 October 2					2004	
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